2-176



RECEIVED

MAR 0 7 2002

Technology Center 2100

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Scott A. Waterman et al.

Title:

DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN ASSOCIATING INTELLIGENCE WITH

CONTENT

Docket No.:

1546.009US1

Filed:

October 31, 2001

Examiner:

Unknown

Serial No.: 10/004,264

Due Date: N/A

Group Art Unit: 2176

RECEIVED

AUG 1 5 2002

TC 1/U0

Commissioner for Patents Washington, D.C. 20231

We are transmitting herewith the following attached items (as indicated with an "X"):

 $\underline{X}$  A return postcard.

X An Information Disclosure Statement (1 pg.), Form 1449 (2 pgs.), and copies of 39 cited references.

Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

Atty: Suneel Arora Reg. No. 42,267

<u>CERTIFICATE UNDER 37 CFR 1.8:</u> The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this <u>35</u> day of <u>February</u>, 2002.

Alisia G. Dunbar

Name

Signature

**Customer Number 21186** 

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

(GENERAL)

0/004,264

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Scott A. Waterman et al.

Examiner: Unknown

Serial No .:

10/004,264

Group Art Unit: 2176

Filed:

October 31, 2001

Docket: 1546.009US1

Title:

DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN

**PATENT** 

ASSOCIATING INTELLIGENCE WITH CONTENT

RECEIVED

### INFORMATION DISCLOSURE STATEMENT

MAR 0 7 2002

**Assistant Commissioner for Patents** Washington, D.C. 20231

**Technology Center 2100** 

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants further request that a copy of the 1449 form, initialed by the Examiner to indicate that all listed citations have been considered, be returned with the next official communication.

Under 37 C.F.R. §1.97(b)(3), it is believed that no fee or certificate is required with this Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Account No. 19-0743.

The Examiner is invited to contact the Applicants' Representatives at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

SCOTT A. WATERMAN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 373-6951

Date

Suneel Arora

Reg. No. 42,267

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231, on this 25 day of February, 2002.

Alisia G. Dunbar

Signature

Name





# **Technology Center 2100**

Sheet 1 of 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Atty. Docket No.: 1546.009US1 Serial No. 10/004,264

Applicant: Scott A. Waterman et al.

Filing Date: October 31, 2001 Group: 2176

#### U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	_ 5,309,359	05/03/1994	Katz, B., et al.	364	419.19	08/16/90
	_ 5,377,103	12/27/1994	Lamberti, D.M., et al.	364	419.08	05/15/92
	_ 5,404,295	04/04/1995	Katz, B., et al.	364	419.19	01/04/94
	_ 5,553,226	09/03/1996	Kiuchi, I., et al.	395	161	10/30/89
	_ 5,555,408	09/10/1996	Fujisawa, H., et al.	395	600	10/25/93
	_ 5,594,837	01/14/1997	Noyes, D.B.	395	63	10/17/94
	_ 5,625,767	04/29/1997	Bartell, B., et al.	395	140	03/13/95
	_ 5,628,009	05/06/1997	Kikuta, Y., et al.	395	610	09/22/95
	_ 5,630,125	05/13/1997	Zellweger, P.	395	614	05/23/94
	_ 5,644,740	07/01/1997	Kiuchi, I.	395	357	11/30/93
	_ 5,778,364	07/07/1998	Nelson, P.C.	707	6	01/02/96
	_ 5,787,417	07/28/1998	Hargrove, R.R.	707	4	01/28/93
	_ 5,819,258	10/06/1998	Vaithyanathan et al.	707	2	03/07/97
	_ 5,835,905	11/10/1998	Pirolli, P.L., et al.	707	3	04/09/97
*****	_ 5,878,423	03/02/1999	Anderson, D.C., et al.	707	100	04/21/97
	_ 5,895,466	04/20/1999	Goldberg, R.G., et al.	707	5	08/19/97
	_ 5,895,470	04/20/1999	Pirolli, P.L., et al.	707	102	04/09/97
	_ 5,930,803	07/27/1999	Becker, B.G., et al.	707	104	04/30/97
	_ 5,991,756	11/23/1999	Wu, J.	707	3	11/03/97
	_ 6,006,225	12/21/1999	Bowman, D.E., et al.	707	5	09/01/98
	_ 6,029,165	02/22/2000	Gable, G.A.	707	3	11/12/97
	_ 6,073,135	06/06/2000	Broder, A.Z., et al.	707	100	03/10/98
	_ 6,078,914	06/20/2000	Redfern, D.M.	707	3	12/09/96
	_ 6,105,023	08/15/2000	Callan, J.	707	5	08/18/97
	_ 6,112,172	08/29/2000	True, S.D., et al.	704	235	03/31/98
	_ 6,169,986	01/02/2001	Bowman, D.E., et al.	707	5	10/01/99
	_ 6,177,932	01/23/2001	Galdes, F.A., et al.	345	329	08/21/98
	_ 6,233,575	05/15/2001	Agrawal, R., et al.	707	6	06/23/98
	_ 6,236,989	05/22/2001	Mandyam, S.S., et al.	707	4	12/11/98
	_ 6,243,713	06/05/2001	Nelson, P.E., et al.	707	104	08/24/98
	_ 6,256,627	07/03/2001	Beattie, T.W., et al.	707	6	06/23/98
	_ 6,269,362	07/31/2001	Broder, A.Z., et al.	707	4	12/19/97
	_ 6,282,538	08/28/2001	Woods, W.A.	707	5	02/11/98
	_ 6,292,802	09/18/2001	Kessenich, J.M., et al.		101	05/09/00
	_ 6,297,824	10/02/2001	Hearst, M.A., et al.	345	357	11/25/98
	_ 6,301,579	10/09/2001	Becker, B.G.	70 <b>7</b>	102	10/20/98
	_ 6,304,259	10/16/2001	DeStefano, G.F.	345	346	02/09/98
	_ 6,314,420	11/06/2001	Lang, A.K., et al.	707	3	12/03/98
	_ Re. 37,431	10/30/2001	Lanier, C.D., et al.	706	58	10/02/96

Examiner

Date Considered

<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)

<sup>\*\*</sup>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





# **Technology Center 2100**

Sheet 2 of 2

INFORMATION DISCLOSURE STATEMENT

Applicant: Scott A. Waterman et al.

Atty. Docket No.: 1546.009US1

Filing Date: October 31, 2001

Serial No. 10/004,264

BY APPLICANT

Group: 2176

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

\*\*Examiner Initial Filing Date Document Number Date Name Class Subclass If Appropriate

FOREIGN PATENT DOCUMENTS

**Examiner					Translation
Initial	Document Number	Date	Country	Class Subclass	Yes No

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.) Initial

Examiner

Date Considered

<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)

<sup>\*\*</sup>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.